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s/ Rachel Potash
Rachel Potash

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
SIMON BAILEY, ET AL

Serial No.: 10/825,923

Confirmation No.: 8936

Filed: April 15, 2004

For: **ALPHA SUBSTITUTED CARBOXYLIC ACIDS**

Group Art Unit: 1614

Examiner: Not Yet Assigned

Honorable Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97(b) or 1.97(c)

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of (1) a Final Action under § 1.113; (2) a Notice of Allowance under § 1.311; or (3) an action that otherwise closes prosecution in the application. The Commissioner is hereby authorized to charge the fee as set forth in § 1.17(p) to Deposit Account Number 500329.

- ☐ Applicant requests that the Examiner consider the following copending applications:

Application Serial No.	Filing Date

- ☐ Copies of these copending applications are enclosed.
- ☒ Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith. Copies of the cited references, except as noted below, are enclosed.
- ☐ This application is a continuation, divisional or continuation-in-part of Serial No. [REDACTED]. Copies of the cited references, if not enclosed, are available in the file of the parent application or parents thereof.
- ☒ This application was filed after June 30, 2003, or entered U.S. national stage under 35 U.S.C. § 371, after June 30, 2003. Copies of U.S. Patents and U.S. Patent Application Publications are not enclosed. (1276 OG 55).
- ☐ Applicant hereby requests consideration of the enclosed International Search Report, which was received in a related international patent application.

The Commissioner is hereby authorized to charge any fee deficiency, including any fee required under 37 C.F.R. § 1.17(p), or credit any overpayment, to Deposit Account Number 500329. A duplicate copy of this form is enclosed.

Respectfully submitted,

Date: August 5 '04

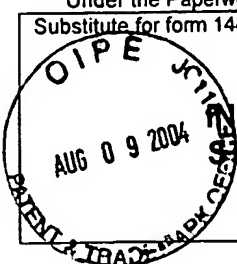


Elsa Djuardi, Ph.D.
Attorney For Applicant
Registration No. 45,963

Agouron Pharmaceuticals, Inc./A Pfizer Company
Patent Department
10777 Science Center Drive
San Diego, California 92121
Phone: (858) 638-6117
Fax: (858) 678-8233

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/825,923
Filing Date	04/15/2004
First Named Inventor	Simon Bailey
Art Unit	1614
Examiner Name	TBA
Attorney Docket Number	PC25631A

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	Cite No. ¹	DOCUMENT NUMBER Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AA	JP7-316144	12-05-1995	Sankyo Co. Ltd.		
	AB	WO 02/098840 (including English Abstract)	12-12-2002	Eisai Co., Ltd.		
	AC	EP1394147 (Equivalent to WO 02/098840)	03-03-2004	Eisai Co., Ltd.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AD	DENMARK, et al., "(E)-3-(Trimethylsilyl)-2-propen-1-ol. An Improved Preparation," <i>Journal of Organic Chemistry</i> , 1982, 4595-4597, Vol. 47.	
	AE	DORLAND, et al., <i>Dorland's Illustrated Medical Dictionary</i> , 2000, 29 th Edition, 555 and 755, W. B. Saunders Company, Philadelphia.	
	AF	GEARING, et al., "Interaction of the Peroxisome-proliferator-activated Receptor and Retinoid X Receptor," <i>Proceedings of the National Academy of Science, USA</i> , 1993, 1440-1444, Vol. 90.	
	AG	HEYMAN, N., et al., "9-cis Retinoic Acid is a High Affinity Ligand for the Retinoid X Receptor," <i>Cell</i> , 1992, 397-406, Vol. 68.	

EXAMINER:

DATE CONSIDERED:

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	AH	INUI, S., et al., "Synthesis and Thermal Properties of Antiferroelectric Liquid Crystals Having Phenylalkanoate and Phenylalkenoate Moieties," <i>Mol. Cryst. Liq. Cryst. Sci. Technol. Sect. A.</i> , 1994, 1-10, Vol. 239.	
	AI	ISSEMAN, N. et al., "Activation of a Member of the Steroid Hormone Receptor Superfamily by Peroxisome Proliferators," <i>Nature</i> , 1990, 645-650, Vol. 347.	
	AJ	KELLER, et al., "Fatty Acids and Retinoids Control Lipid Metabolism Through Activation of Peroxisome Proliferator-activated Receptor-retinoid X Receptor Heterodimers," <i>Proceedings of the National Academy of Science USA</i> , 1993, 2160-2164, Vol. 90.	
	AK	KLIEWER, et al., "Convergence of 9-cis Retinoic Acid and Peroxisome Proliferator Signalling Pathways through Heterodimer Formation of their Receptors," <i>Nature</i> , 1992, 771-774, Vol. 358.	
	AL	LEVIN, et al., "9-Cis Retinoic Acid Stereoisomer Binds and Activates the Nuclear Receptor RXR α ," <i>Nature</i> , 1992, 359-361, Vol. 355.	
	AM	PATINI, et al., "Bioisosterism: A Rational Approach in Drug Design," <i>Chemical. Reviews</i> , 1996, 3147-3176, Vol. 96.	
	AN	REDDY, et al., "Carcinogenesis by Hepatic Peroxisome Proliferators: Evaluation of the Risk of Hypolipidemic Drugs and Industrial Plasticizers to Humans," <i>Critical Reviews in Toxicology</i> , 1983, 1-58, Vol. 12, No. 1.	
	AO	SCHOW, et al., "Diastereoselective Synthesis of the Antibiotic L-Azatyrosine," <i>Journal of Organic Chemistry</i> , 1994, 6850-6852, Vol. 59.	
	AP	STEDMAN, et al., <i>Stedman's Medical Dictionary</i> , 26th Edition, Williams & Wilkins, Baltimore.	
	AQ	THAVONEKHAM, B., et al., "A Practical Synthesis of Ureas from Phenyl Carbamates," <i>Synthesis</i> , 1997, 1189-1194, Vol. 10.	
	AR	TOMASSY, et al., "Stereoselective Routes to E and Z Straight-Chain Primary Allylic Amines," <i>Synthetic. Communications</i> , 1998, 1201-1214, Vol. 28, No. 7.	
	AS	VAN BENTHEM, et al., "A Practical Synthesis of Geometrically Pure N-Boc-Protected Primary Allylic Amines," <i>Synlett Letters</i> , 1994, 368-370.	

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